Index

Africa
aggregate donor quality indicators 44
donor meetings in 39, 48
economic imbalance with Europe 7, 36–9
EU’s aid to 35–6, 41–2, 141
human trafficking
children as victims of 109
fortified regulations 113
perceptions of EU 254–5, 256, 259–60, 262
places of human rights clause activation 155–8
proposal for reducing imbalance with EU 54–5
relations with China 166, 176, 181, 183–4, 196
African, Caribbean and Pacific Group of States (ACP)
dependence on EU markets and capital 263
EU negotiations 260
opposition to 260–61, 265
and human rights clauses 142, 144, 147–8, 152, 155, 158–9
perceptions of EU 254–5
relations with EU Commission 49
Aggestam, L. 16, 19–20, 25, 252
aid
effectiveness of 42–5
effects on governance and growth 47–8
EU
to Africa 35–6, 41–2, 141
changing policy on 51–3
organizing 7–8, 53–4
perceptions of 254–5
responsibility for policy 6
to Russia 207
trade and development 149–53
gains from coordinated country allocation 48–51
and HR clauses 141
possibilities of 39–41
transaction costs and donor coordination 45–7
Alcaro, R. 22, 25
Allison, R. 205–6, 217, 225–6
Arab Spring 28–9
Arts, K. 139, 157
Ashton, Catherine 6, 24–9, 31
Asia
entrepreneurship 60–61, 68–73, 80, 83
states having EU external agreement with HR clause 153
association agreements 1, 141–2, 149–55, 226
auctioning of emission permits 94
Averre, D. 211, 225
Aviation Directive
aftermath of 96–8
auctioning of emission permits 94
as controversial 96
failure to achieve ‘Brussels effect’ 100–101
future extended application of 103
goal of 95–6
protests against 101–2
scope of 95
wide application of 98
aviation sector 90, 95–6, 103
Bäckstrand, K. 2–3, 31, 250, 259
Barroso, José Manuel 98, 205, 213, 232, 234
Bartels, L. 98, 140, 154
Baumol, W.J. 58, 68, 85
Beltukova, S. 253, 256
Berthélemy, J.-C. 49–50
Bigsten, A. 46–8, 50–51, 55
The EU’s role in fighting global imbalances

billionaires 62–4, 71, 75–7
Bindman, E. 207, 209
Birdsall, N. 35, 44, 49
Bogojević, S. 5, 90, 92–3, 95, 97, 99
Bogutcaia, G. 209, 219
Börzel, T. 16, 18
Bozhilova, D. 211, 218, 220
Bradford, A. 90, 98
British Petroleum (BP) 213, 237
‘Brussels effect’ 90, 100
Bull, H. 16, 18
Burns, J.M. 18–19, 23, 25
business angels 64
capability–expectations gap 18, 25
carbon market 92–5
Chaban, N. 250, 252–6, 261–2
China
common European China policy 194–200
direct investment and multinational enterprises 178–84
European investment in 185–90
investment in Europe 184–5
towards balanced investment conditions 190–94
imbalance with EU 11–12, 167–9
international trade (export miracle) 169–72
demography and geography 172–5
towards balanced trade relations 175–8
labour relations 176, 202
labour supply and wages 172, 174–5, 177–8, 189, 200
as new high-growth economy 4–5
one child policy 172–3, 174, 177
pressure on other countries 166–7
rapid growth of 165–6
relations with Germany 196–8, 200
transatlantic partnership to balance 200–202
and United States 167–8
Climate and Energy Package 92–3
climate change
EU carbon market 92–3
auctioning 94
linking 94–5
EU climate action leadership challenges and perspectives 101–3
external perceptions of 257–9
‘gecko’ effect of 100–101
EU climate protection
and aviation sector 95–6
and global leadership 98–9
EU court, Aviation Directive and aftermath 96–8
EU law and policy 91–2
as global imbalance 5, 9
introduction 89–91
Collina, C. 218, 221
Common Agricultural Policy (CAP) 252, 257, 264
Common Foreign and Security Policy (CFSP) 1, 6, 13, 16–17, 26, 236–7
conditionality
clauses 10–11, 146–7, 149, 160–61
ex ante and ex post 154
political 10, 140–41
Connolly, R. 213, 226–7
Copsey, N. 225–7
Cotonou Agreement 142, 152, 155, 158–9
Council of Europe Convention on Actions against Trade in Human Beings 113, 116–17, 133
countermeasures 122, 125, 145, 159
Court of Justice of the European Union 120, 138–9, 164, 216
Cremona, M. 98, 154
Dannreuther, R. 212, 230, 234
Deng Xiaoping 170–71, 178–9
Dettke, D. 211, 215, 218, 226–7, 230
Døhlie Saltnes, J. 157–8
Duchêne, F. 16
Eastern Partnership 1, 206, 225
economic imbalances
between EU and China 195
between Europe and Africa 36–9
between USA and China 193
between world’s leading economies 3
education
in China 173–4, 177–8
entrepreneurship 76, 82, 84
gap between Europe and Africa 37
EEAS see European External Action Service (EEAS)
electric cars 187–8, 190
Elgström, O. 2–3, 31, 250, 255–6, 258–62

emission permits

of airlines 96

auctioning 94

price of 93, 102

energy markets

China 215

Chinese 185, 187

EU 5, 213, 215–16, 226–7

Russian 5, 211, 213–16, 220

energy use 91–2, 102

entrepreneurship 8–9

boosting, in Europe 80–85

correlation with self-employment 68–75

reasons for 78–80

differences in rate of 66–8

European deficit 58–60

European imbalance

closing gap 80–85

effects of 60–62

importance of culture 67

measures of 62–6

difficulty in producing 61–2

Schumpeterian 58, 62, 64–6, 71, 80, 83

wealth of employees 75–7

Europe

China’s investment in 184–5

economic imbalance with Africa 7, 36–9

entrepreneurship imbalance

closing gap 80–85

deficit 58–60

effects of 60–62

introduction 58–60

and self-employed 68–75, 78–80

investment in China 185–90

European Chamber 184–9, 190, 193

European Commission

aid 39–40, 42

China 191, 193–5, 201

climate change and emissions 90, 92–6, 102–3

Directorate-General for Trade 260

entrepreneurship 58–9, 80

external policy areas 26

human rights 138–9, 142, 144, 147, 150, 152–3, 156–8, 161


negotiating strategy 260–61

Russia 205, 213–16, 223–6, 232, 234, 236–7

supranationalist inclinations of 25

Vice President 14, 22, 26

wavering support for 264

European Communities 99, 116

European Council 209, 225, 237

European Court of Human Rights (ECHR) 115–20, 134

European External Action Service (EEAS) 1, 22, 25–9, 148–9, 208, 223, 229–31

European Neighbourhood Policy (ENP) 26, 127, 208, 224

European Political Cooperation (EPC) 21, 139

European power 18–20

European Union (EU)

aid to Africa 35–6, 41–2, 141

changing policy 51–3

organizing 7–8, 53–4

to Russia 207

trade and development 149–53

climate change

action leadership 100–103

carbon market 92–5

climate protection 95–6, 98–9

court 96–8

law and policy 91–2

composite nature 216–23

Conference of the Parties (COP) meetings 258–9

Emissions Trading Scheme (EU ETS) 5, 9, 89, 90–95, 97–9, 102–3

external perceptions of 12–13, 250–51

developing countries negotiations 259–61

and global imbalances 264–5

leadership and influence 262–4

leadership and power 253–5, 256–7, 263

leadership in climate change negotiation 257–9

multilateral negotiations 255–61

Antonina Bakardjieva Engelbrekt, Moa Mårtensson, Lars Oxelheim, and Thomas Persson

9781784716738

Downloaded from https://www.elgaronline.com/ at 11/01/2023 08:20:30AM
via free access
The EU’s role in fighting global imbalances

*normative power 259–62*

*reasons for studying 251–3*

*foreign and security policy 13–14, 16–17*

*leadership in 20–22, 29–30*

*and global imbalances 2–13, 264–5*

*human rights clauses 139–62*

*human rights policy 127, 133–4, 138–9*

*human trafficking*

*ensuring effectiveness of measures against 127–32*

*law 116–20*

*policy 111–12*

*imbalance with China 11–12, 167–9*

*anti-dumping duties 188–9, 191–2, 193, 195*

*export competitiveness 169–78*

*foreign direct investment (FDI) 178–94*

*inability to act as equal counterpart 194–200*

*transatlantic partnership as solution 200–202*

*introduction 1–2*

*negotiating leadership in EU foreign policy-making 6–7, 25–7*

*Russia, relations with 5, 12, 205–36*

*as transformative power or political dwarf 16–17, 19, 31*

*Eurostat 109, 111, 121, 123, 127*

*Feklyunina, V. 217, 225, 232*

*Ferreira-Pereira, L.C. 226–7*

*Fierro, E. 140, 154*

*Flenley, P. 211, 223*

*foreign direct investment (FDI), China*

*annual inflows to 178–80*

*annual outflows from 180–81*

*as destination for 165, 168*

*distribution across 171*

*as engine of growth for 170*

*European investment in 185–90*

*investment in Europe 184–5, 196*

*outward 181–2*

*regulatory restrictiveness index 183–4*

*‘round-tripping’ 180, 202–3*

*unequal conditions for 195 of USA 196*

*foreign policy (EU)*

*ambition to speak with ‘one voice’ 21*

*close association with executive function of national government 21*

*collective action exerting influence in global affairs 20*

*common commitment to 31*

*first seed of 139*

*competition among European institutional actors 22*

*contradicting Russian policy 234*

*democracy and human rights as fundamental for 133, 139*

*desire to improve 30*

*development policy forming integrated part of 6*

*as essentially intergovernmental 21, 29*

*exclusion of military means from 232*

*guided by normative principles 28*

*human rights clause’s legitimacy as instrument of 147, 159–60*

*joker in the deck 31*

*leadership*

*challenging, at national level 24*

*during crises 27–9*

*effectiveness and legitimacy, tension between 17, 20*

*function in, reform of 29*

*negotiating 6–7, 25–7*

*nurtured 31*

*structure, foundation for more formalized 22*

*limited capacity to assert in Russia 12*

*literature devoted to internal decision-making 251*

*as not unique in attempts to promote norms 262*

*reluctance of member states to cede pre-eminence in 22*

*role differing according to issue 254*

*self-image in 261*

*shield facilitating action on 30*

*some considerations of 6*

*speaking with many voices 218*
Index

trade policy forming important part of 4
foreign policy (Russia) 217, 228, 234, 236–7
Forsberg, T. 207, 210, 221, 236, 261
Fox, J. 194–5, 197–8
Foxconn 176

gains for coordinated country allocation 48–51
Gallagher, A.T. 112–15
Gazprom 211, 216
‘gecko’ effect 100–101
Geely 181–2, 185
Gini coefficients 176
Global Entrepreneurship Monitor (GEM) 71
global imbalances 2–13
and entrepreneurship 58–85
and EU leadership 16–32
evening out, for protection of human rights 138–62
and external perceptions of EU 262–4
human trafficking as result of 109–34
role of aid in reducing 35–55
Godement, F. 194–8
governance and growth, effects on 47–8
Graham, T. 212, 214
Gupta, J. 89, 99

Haukkala, H. 1, 205, 207, 224
Hayward, J. 17, 20
Hedegaard, C. 95, 97–9
Helwig, N. 17, 26, 28–9
Hemra, S. 26–7, 31
Henrekson, M. 58, 70, 72, 74, 85
High Representative of the Union for Foreign Affairs and Security Policy
ambivalence on role of 29
Catherine Ashton as first 6, 25, 31
creation of new position of 1, 22
EEAS strengthening position of 22, 26–7
Federica Mogherini as current 7, 22, 30–31
international challenge faced by 28
leadership functions 21, 23, 26

Lisbon Treaty strengthening role of 16–17, 26
personal traits of 7, 24–5
question surrounding success of 2
stressing need for unification 118
as Vice-President of European Commission 14, 22, 26
Hill, C. 18, 21, 30, 251
Hoffmann, S. 21, 29
Holland, M. 252–3, 261
Howorth, J. 13, 17, 22, 25, 253–4
HR clauses see human rights (HR) clauses
human rights 10–11
in China 194–6
and EU policy 127, 133–4, 138–9, 261
external perceptions of EU 255, 262
in Russia 207, 209
human rights (HR) clauses
construction of, in EU external agreements 143–5
decisions to invoke 147–8
norms covered by 146–7
description and function of 139–40
evaluating application of 154–9
history of use in EU external agreements 140–43
mapping EU external agreements containing 148–9
states having 152–4
types of agreements containing 149–51
selective application 155, 157, 162
winning legitimacy 159–62
Human Rights Watch 138, 225
human trafficking 9–10
continuing demand for 132–4
definition and scope 109–10, 112
difficulties determining scope of 120–23
ensuring effectiveness of EU measures against 127–32
EU law 116–20
EU policy 111–12
explanations for 109, 123–5, 131
factors contributing to 110
international law 112–16
perpetrators, victims and underlying forces 109–10, 121–7
state responsibility 114–20, 133–4
as transnational crime 111
Human Trafficking Directive 109,
113–14, 117–20, 126, 133
Hyde-Price, A. 16, 18–19

immigration policy 82
innovation see entrepreneurship
Innovation Union 59
inputs, increased, and entrepreneurship
84–5
Intergovernmental Panel on Climate
Change (IPCC) 89
International Civil Aviation
Organization (ICAO) 95, 97–8,
101, 103
International Court of Justice 143,
164
International Labour Organization
(ILO) 109, 114, 123
Internet 59, 61, 63
investment development path 179–81

Japan
cautionsary tale 175
entrepreneurship 64, 68–70
export to GDP ratio 169
no agreements with HR clauses
153
Jonsson Cornell, A. 117, 126, 130
Jordan, A. 90–91, 103
Kadi cases 138–9, 164
Kaplan, S. 64–5
Karlstsson, C. 2–3, 5, 31, 258–9
Kaveshnikov, N. 211, 216
Kelemen, R.D. 90, 99
Keohane, R.O. 100, 207, 213, 235
Kharas, H. 35, 44, 47, 49
Kilian, B. 250, 258
knowledge
in Chinese economy 178, 184
in entrepreneurship 61, 65, 84
and human trafficking 125, 128–9,
132
Kokko, A. 188
Krickovic, A. 212, 226
Kulovesi, K. 89, 93–5, 99
Kyoto Protocol 91, 93–5, 99, 258–9,
265

Larsen, H.B.L. 205, 210, 218, 252, 262
Lavrov, Sergey 211, 213, 216, 227, 232
law
climate change 91–2
human trafficking
EU 116–20, 127–32
international 112–16
see also regulation
leadership
charismatic 19, 26
as co-constructed 24
as community of practice 24
during crises 27–9
defining 23–4
as ‘diplomatic entrepreneur’ role
27, 31
EU climate action
challenges and perspectives 101–3
external perceptions of 257–9
‘gecko’ effect of 100–101
and global leadership 98–9
and EU influence 262–4
and European power 18–20, 253–7,
263
functional approach to 21–2
horizontal and vertical 26
introduction 16–18
‘logic of diversity’ 21, 29
negotiating, in EU foreign policy-
making 6–7, 25–7
paradox between effectiveness and
legitimacy 20–22, 30
performance, post-Lisbon 27–30
as person 23
as position 23
as practice from bottom up 30–31
as process 23–4
as results 23
transactional and transformative
25–6, 30
uninhibited 17, 20
visionary 25, 27
legitimacy
and entrepreneurship 77
EU and climate change 99
of HR clause 147, 155, 156–7,
159–62
leadership 20–22
and perceptions of EU 252, 262–4
Lerner, J. 60, 64–5
Libya 28, 231
linking emission trading systems 94–5
Lisbon Treaty
  capability–expectations gap 25
  clarity on purpose of EEAS 27
  creation of EEAS 26
  on donor programmes 39
  empowering leadership functions 23
  EU’s creation of new position 1, 22, 25
  EU’s integration of development policy 6
  fight against climate change goal 91
  institutional instruments and solutions established by 13
post
  EU policies on freedom, security and justice 111
  EU’s legislative competence on transnational crime 117, 127
  leadership performance 27–30
  reform of institutional leadership in foreign policy 21
  reforms in Common Foreign and Security Policy 16–17, 30
  strengthening position of High Representative 16–17, 26
Liu, Y. 188
Lomé Convention 140, 142, 152, 155, 158–9
Lucarelli, S. 251–3
Maastricht Treaty 1, 139, 162
Makarychev, A. 211, 213, 217, 231, 234
Malaysian airliner MH17 206, 236
Manners, I. 2, 16, 18–19, 251, 254, 261
McGowan, F. 211, 216, 237
Medvedev, Dmitri 210, 227, 229, 231, 236
Meister, S. 211, 213, 217, 234
Menon, A. 13, 20, 22, 28–9, 254
Merkel, Angela 217, 227
migration
  from Africa to 54–5
entrepreneurs 82
  global, as challenge 5–6
  and human trafficking 118–19, 125
  measures taken 127
need for consensus on 133
Moberg, A. 139, 142, 147, 154–5, 158
Mogherini, Federica 7, 22, 25, 30–31, 218, 229
Morgera, E. 94–5
Naurin, D. 207, 218, 235
normative power Europe (NPE) 19–20
North, D.C. 3–4
Nuttal, S.J. 139, 263
Nye, J. 23, 213
OECD 41, 45, 59, 64, 67–9, 71, 74, 85, 183–4
Palermo Protocol 109, 112–17
Parello-Plesner, J. 196–7
Parker, C. 2–3, 31, 259
Partnership and Cooperation Agreement (PCA) 205, 207–8, 219, 224
pension plans 77
political imbalances 3–4
poverty
  in Africa
    as EU challenge 7, 35–6
    smallest reduction in 36
    aid contributing to reduction in 41, 151
  in China 165, 169
  global prevalence 138
  and human trafficking 124
  Millennium Development Goals for reducing 36, 151, 165–6
  and respect for human rights 138
  and restrictions on migration 55
  prostitution 109, 113–14, 118–19, 126, 133
  push-pull theory 124
  Putin, Vladimir 207–8, 210–11, 214, 216–17, 225, 230, 232, 236
Rajamani, L. 89–90, 96, 98
Rantsev v. Cyprus and Russia 115, 118–19, 134
rational institutionalism, theory of 21–2
The EU’s role in fighting global imbalances

reciprocity
EU-China relations 191, 193–5, 198, 200, 202
EU-Russia relations 207, 235
regulation
effect on entrepreneurship 67, 79–80, 83
of greenhouse gas emissions 90, 93, 96–8, 100–101
on rights of trafficking victims 112–13, 118
trade, in China 182–6, 188
see also law
regulatory imbalances 3
research and development (R&D), China 186–8, 198–9
Rettman, A. 221–2, 230
Risse, T. 16, 18
Robertshaw, S. 225, 233
Roth, M. 210–11, 215, 220–21, 224, 236
Roth, V. 113, 126
Russia-EU relations 5, 12, 205–7
asymmetries in 212–16
conflict at regional level 222–8
consequences of EU’s composite nature 216–23
cooperation on global security challenges 228–31
cost–benefit analysis 206–7, 235
Deep and Comprehensive Free Trade Agreement (DCFTA) 226–8
evolution of 207–12
future for 232–6
Partnership and Cooperation Agreement (PCA) 205, 207–8, 219
as primarily utility driven 109
see also Ukrainian crisis
Saari, S. 209, 225
Sanandaji, T. 70, 72, 74, 85
Schmidt-Felzmann, A. 206, 208–9, 211, 214–21, 232–3
Schumpeter, J.A. 62, 66
Schumpeterian entrepreneurship 58, 62, 64–6, 71, 80, 83
Scott, J. 3, 90, 92, 96, 98
self-employment 68–75, 78–80
Seppo, A. 210, 221, 236
Shapiro, J. 22, 25
Sheahan, L. 260–61
Shelley, L. 110, 117, 122, 124–5, 129
Sjursen, H. 3–4, 16
Smith, K.E. 98, 140–41, 154, 157, 252, 254
Smith, M.E. 16, 18, 139, 251
state-owned enterprises (SOEs) 168, 174, 185–6, 188, 192–3
Steinmeier, F.-W. 208, 217
Strategy towards the Eradication of Trafficking in Human Beings 112, 118, 127–34
superentrepreneurship see entrepreneurship
Syria 229–30
taxation 67, 74–5, 79–82, 85
technology
in Chinese economy 166, 175, 178, 186–90
and entrepreneurship 59, 61, 65, 82, 84, 85–6
EU as source for Russia 213, 214, 221
green 94, 99
Timmermann, H. 205, 207–8
Toje, A. 16, 18–19
trade policy imbalances 4–5, 11–12
transaction costs and donor coordination 45–7
Transatlantic Trade and Investment Partnership (TTIP) 193, 200–202
Treaty on the Functioning of the European Union (TFEU) 91, 97, 139, 150–51
Tsygankov, A.P. 205, 217, 226–8
Ugandan human rights case 140–41
Ukrainian crisis 1–2, 5, 28, 122, 206, 211–13, 217, 221–8, 232–7
United Nations 138, 143, 177
Development Programme (UNDP) 45
Framework on Climate Change
Convention (UNFCCC) 89, 91, 100, 258
Office on Drugs and Crime
(UNODC) 109, 114, 124, 127
Palermo Protocol 109, 112
Security Council (UNSC) 139, 212, 223, 228–31, 237
United States
and China
American China policy 193
annual FDI inflows to 179
annual FDI outflows from 180
competition from Chinese exports 167–8
deficit 175, 196
relations with 196
share of overseas investment 181
entrepreneurship
comparison with Europe 59–61, 63, 75–8, 80, 82–3
education 82
firms 64–6, 75, 78, 84
self-employment 62, 68, 69–73
as largest economy 169
Van der Loo, G. 210, 221, 227, 236
Vendil Pallin, C. 210, 225
venture capital investments 64–6, 71–2, 82–3
Vienna Convention 143–5
Vogler, J. 99, 251
Vysotskaya Guedes Vieira, A. 226–7
Wal-Mart 60, 65, 78, 82, 189
Walk Free Foundation, The 109, 123
Weber, M. 19, 23
Weischer, L. 89, 100
Wendt, A. 24, 213, 217
Westerlund, F. 210, 225
wind power industry 187–9
Wood, B. 43, 45
World Trade Organization (WTO)
China’s accession to 171–2, 179, 182, 196
considering China to be non-market
economy 191, 202
end of exception clause 260
EU as full member of 252
EU trade policy within framework of 4, 158–9, 250, 264
perceived power and perceived
leadership 263
Russia’s accession to 208, 210, 258
Russia’s conflict with rules 227
Russia’s opposition to EU’s internal
energy market legislation at 216
view of EU in 256–7
Yanukovych, Viktor 1, 224
Yaoundé Convention see Lomé
Convention
Ziegler, C.E. 217, 220, 232