Index

absorption capacity 116, 165, 195–6
access to brains/talent 91–2
Action Plans 13
Adams, H. 58, 59
added value 186
EIARD 218
to EU R&D policy 168–70
adult mortality rate 67, 68
Advisory Council for Science and Technology 210–11
Africa 50, 51, 67, 69, 70–71
ageing population 72–3
agricultural research for development (ARD) 213–14
EIARD see European Initiative for Agricultural Research for Development
agriculture 109, 116
agro-processing sector 116–18, 130–31
innovation capacity 105–7
innovation constraints 108–16
AIDS/HIV 70–71
alignment of goals 154–5
All India Council for Technical Education (AICTE) 95
allocation of user rights 34–5
Antarctic Treaty System (ATS) 32–3, 41
Antarctica 32–3, 35, 41
appropriateness, logic of 180–84, 188–9
ARTEMIS 146, 147
Article 169 initiatives 2, 20, 41, 138, 141, 153
Asian Tigers 16
atmosphere 33–4, 35
stratospheric ozone depletion 34, 35, 38
see also climate change
Austria 60
Bangladesh 105–19, 130–31, 230–31
innovation capacity 105–8
innovation constraints 108–16
promoting competitiveness of 116–18
Pure Food Ordinance (1959) 115
Bangladesh Agricultural Development Corporation (BADC) 109
Bangladesh Agro-Processors’ Association (BAPA) 106, 115
Barcelona targets 85, 162
3 per cent target 89–90, 100, 162, 165, 168
opening up national research programmes 162, 166–7
barriers to cooperation and coordination 206
Basant, R. 93
Basel Committee on Banking Supervision 15
Beck, U. 98–9
Beck-Gernsheim, E. 98–9
bilateral agreements 42–3
general 15
S&T 11, 15, 42–3, 50, 177–80, 184, 187, 231
Biofuel ETP 169
bi-regional agreements 15, 187
bi-regional dialogue 205
Bosworth, B. 92–3
bottom-up coordination 152
brain circulation 200–201
brain drain 91
Brazil 11, 16, 81, 83, 192
BRICS countries 192, 198
see also Brazil; China; India; Russia; South Africa
Busquin, P. 3
capacity building 17, 166
global commons regimes 36–7, 42
carbon capture and storage (CCS) 49
Carbon Sequestration Leadership Forum (CSLF) 228, 236
Chagas disease 74
Challenging Europe’s Research: Rationales for the European Research Area 210
Child Health and Nutrition Research Initiative 78
China 11, 16, 81–102, 125–6, 192, 198, 230
co-funded research on climate-related technologies 42–3
emergence in a global setting 81–4
international co-publications 135
National Science and Technology Development Strategy 94
opportunities and challenges for mutual learning 92–8, 99
chlorofluorocarbons (CFCs) 35
chronic diseases 70
civil society organizations (CSOs) 78
Climate Action Plan 53, 53–4
climate change 34, 42–3, 47–64, 124–6, 230
EU discourses 53–6
EU’s current global position 52–3
regime 35, 38–9
sustainable development and 57–60, 125–6
coal 98
Code of Practice 8
coherence, policy 152–4, 175, 208
collaboration 140, 163–4, 220–21
clarity about S&T collaboration goals 148–50
increasing importance of international S&T collaboration 135–7
policy collaboration 140
Collins, S.M. 92–3
Commission on Macroeconomics and Health (CMH) 65
Commission on Social Determinants of Health (CSDH) 73, 75
Committee on the Peaceful Uses of Outer Space (COPUOS) 33
Committee on Science and Technical Research (CREST) 4, 166, 189
Working Group on ‘Internationalisation of R&D’ 14, 139, 149, 192–211
common commercial policy 84
Common Fisheries Policy 32, 42
common visions, goals and strategies 154–5, 175
commons, global see global commons
Community instruments 204, 207, 220–21
to enhance policy coordination at national level 204–5
Community Lisbon Programme (CLP) 5–7, 13
competition 15–16, 123–4, 220–21, 222
developed countries’ objectives and internationalization of S&T 195–6
EU discourse on 53–6
intra-EU 186
promoting Bangladesh’s competitiveness 116–18
reconciling with cooperation 208–11
competition policy 84
Competitiveness Council 8, 14
conflict
complex emergencies and health 71
political and bureaucratic 152–3, 175
consequentiality, logic of 180–84, 188
Consultative Group on International Agricultural Research (CGIAR) 216, 217, 222, 223, 228, 236
Convention on Antarctic Marine Living Resources (CAMLR) 33
Convention on the Protection of the Marine Environment of the Baltic (HELCOM) 40
Convention for the Protection of the Marine Environment of the North-East Atlantic (OSPAR) 40
cooperation 220
reconciling competition and 208–11
coordination 140–41, 220
policy see policy coordination
Coordination and Support Actions 204
CO-Reach 143, 144–5
COST 138, 182, 184, 185
cost–benefit analysis 149, 157, 223
Council on Health Research for Development (COHRED) 78
CRAMRA 32
curiosity-driven research 210
decentralizing technologies 61–2, 126
demographic divide 85–7
Denmark 60
developing countries 11, 50
capacity building 17, 36–7, 42, 166
institutional responses to innovation
in latecomer countries 103–22,
130–31, 230–31
LMICs and health 65–80, 129–30
development
agricultural research for 213–14
assistance 58–9
in Europe 81
global economic growth 81
health and 65–6
knowledge-intensive growth strategy
92–4, 99
pathways 58
sustainable see sustainable
development
development policy 199
Disease Control Priority project 66
divergence in national research systems
186
Doha Round 88
domestic coordination 151–3, 219
donor community 118–19
duplication 171
eliminating 152–4, 168–9, 175
economic criteria for priority setting
197–8
Economic Partnership Agreements 15
economic policy 199
education
Bangladesh 110, 111–12
India 93, 95–6
technical 95–6, 110
enabling learning environment 103
energy resource supply 47–64, 124–6,
230
decentralization and super-grids
61–2
energy resources and energy security
56–7
EU discourse on energy security
53–6
enhanced greenhouse effect 34
environmental policy 199
equity 74–8, 129
Equity Development Fund (Bangladesh)
115
ERA-MORE initiative 201
ERA-NET-PLUS 2, 138, 142, 204
ERA-NETS 2, 5, 20, 138, 142–5, 155,
164, 204
Review of the ERA-NET scheme
166–7
ERAWATCH 164
EURATOM Treaty 1
EUREKA 138, 146, 182, 184, 185, 209
Euro-Mediterranean Association
Agreements 15
European Central Bank 84
European Commission
harmonized activities of member
states and 208
see also under individual
publications
European Community (EC) 37–8
European Community Treaty (EC
Treaty) 4, 7, 231
European Coordination Group (ECG)
215
European Expert Group on the Lisbon
Strategy 154–5
European Forum on Agricultural
Research for Development
(EFARD) 217
European Governance – A White Paper
19
European Initiative for Agricultural
Research for Development
(EIARD) 213–19, 222, 233
areas of work 216
governance 215–16, 219
lessons for international S&T policy
coordination 216–19
origins of the initiative 214–15
significant contributions 216, 217–18
European Institute of Innovation and
Technology (EIT) 7
European integration 81, 141
European Investment Bank 94, 96, 100
European Knowledge Area 6
European Molecular Biology Laboratory
(EMBL) 182, 183–4
European Neighbourhood Policy (ENP)
12, 13
European Partnership for Researchers 8
European Research Area (ERA) 1–27, 48–50, 138, 162, 186
embedding into relaunched Lisbon Strategy 5–7
Expert Group Report (ERA EGR) 48–50, 51–2, 125
external dimension see external dimension of the ERA
governance of 10
objectives 3–4
progress in achieving 166–7, 168
vision for 10
‘European Research Area, The: New Perspectives’ (Green Paper) 7–8, 48
European Research Council (ERC) 5, 138, 210
European Single Market 81
European Strategy Forum for Research Infrastructures (ESFRI) 7
European Strategy for Marine and Maritime Research 41
European Technology Platforms (ETPs) 145–7, 169, 210
European Union (EU) agencies 171
Community instruments 204–5, 207, 220–21
current and future instruments and programmes for international
S&T cooperation 50–52
current position on climate change
52–53
discourses on competition, energy
security and climate change
53–6
energy security policy 56–7
exclusivity, specificity and policy coordination
185–7
external S&T policy 227–8, 234–5
fragmentation and duplication in
institutional system 171
member states see member states of the EU
mutual learning between China, India
and 92–8, 99
organization of policy coordination
185–9
research policy 1–2
adding value to 168–70
policy gap 162–4
research programmes supported by
40–41
S&T cooperation in the global
commons regimes 37–40
‘speaking with one voice’ 18–19
Exclusive Economic Zones (EEZs) 31, 32
exclusive multilateralism 178–80
exclusivity 176, 180–87, 232–3
impact on policy coordination at the
European level 185–7
expenditure on health 71
expenditure on research 85, 86
Barcelona 3 per cent goal 89–90, 100, 162, 165, 168
health research 74, 75, 77
exploitation of knowledge 194, 202–3
external dimension of the ERA 10–21, 170–71, 227
development 10–14
global challenges and 17–19, 123–31, 228–31
objectives 14–17
policy coordination in 19–21, 220–24, 231–3
external knowledge policy perspective
87–92
Fagerberg, J. 90
fisheries 36, 38, 39–40, 42
Food and Agriculture Organization (FAO) 205
food quality regulation 115
foreign direct investment (FDI) 58, 127–8, 194
into China 93
India 96, 128
national policy measures towards FDI
in R&D 201–2
foreign policy 199
fragmentation 3, 20–21, 22, 171
overcoming 152–3, 169, 208
Framework Programmes (FPs) 2, 10–11, 15, 20, 90–91, 138
Sixth FP 2, 4–5, 40, 142, 204
Seventh FP 2, 11, 50, 204
France 215
free-rider problem 36
Freeman, R. 81

G8  18, 186–7, 205, 228
Gabarone Amendment to the Convention on Trade in Endangered Species of Wild Fauna and Flora 44
Galileo 33
General Block Exemption Regulation (GBER) 9
general targets 221
   see also Barcelona targets; European Research Area (ERA)
Georgiou, L. 90
Germany 59, 60
global challenges 1, 17, 51–2, 137, 195, 210–11, 227, 235
and the external dimension of the ERA 17–19, 123–31, 228–31
see also under individual issues
global commons 31–46, 128–9, 229
bilateral support to third countries 42–3
EU-supported research programmes 40–41
regimes 35–40
role of S&T 34–7
‘tragedy’ of 31–4
Global Crop Diversity Trust (GCDT) 217
Global Earth Observation System of Systems (GEOSS) 40–41
Global Forum on Agricultural Research 217
Global Fund to Fight Aids, Malaria and Tuberculosis 228, 235
Global Monitoring for Environment and Security (GMES) 33, 41
Global Water Partnership (GWP) 228
globalization 72, 192
goals see objectives/goals
Godinho, M.M. 90
governance 10
   challenges for European international S&T policy 135–60
developments in 164
EIARD 215–16, 219
of the ERA 10
taxonomy of ‘five Cs’ 220–21
Green Paper on the ERA 7–8, 48
Greenpeace 62
Griffith, R. 90
Growth and Stability Pact 81, 84–5, 163
Hardin, G. 34
health 65–80, 129–30, 230
global health challenges 72–4
research imperatives of the ERA 74–8
services in India and China 97
state of global health 67–71
high seas 31–2, 34, 35, 40, 41
HIV/AIDS 70–71
horizontal policy coordination 20, 174, 175, 188
Human African Trypanosomiasis (HAT) (sleeping sickness) 74
Hume, D. 34
Hydrogen and Fuel Cell Platform (HFP) 147
impact assessment 223
incentives 114, 115–16, 119
positive and negative 19
INCO Programme 11
INCO-NET 185, 204, 205
inconsistencies, policy 152–3, 175
India 11, 16, 81–102, 125–6, 192, 230
car and pharmaceutical sectors 127
emergence in a global setting 81–4
FDI 96, 128
opportunities for mutual learning 92–8, 99
inequities, health 72–3, 74–5, 129
infant mortality rate (IMR) 67–9
infectious diseases 70, 72
informal coordination 213–19
informal institutions 119, 231
information sharing 169
infrastructure 109–12, 116
innovation
capacity 105–8
constraints 108–16
infrastructure and innovation performance 109–12
institutional responses in latecomer countries 103–22, 130–31, 230–31
policy and innovation performance 113–16
research that is important for innovation in companies 210
innovation-intensive growth strategy 92–4, 99
innovation systems 103–5, 163
institutional biases 84–5
institutionalist theory 180
institutions
institutional arrangements for international S&T coordination and cooperation 177–89
exclusivity and specificity 176, 180–87
impact of exclusivity and specificity on coordination at the European level 185–7
organizational consequences of policy coordination at the European level 187–9
taxonomy 176, 177–80
responses to innovation in latecomer countries 103–22, 130–31, 230–31
instruments 223
and targets in international S&T cooperation 221–2
Integrated Guidelines 5–6, 13
integration 140–41
ERA as a nascent integration concept 1–10
European 81, 141
intellectual property rights (IPRs) 202
interdependence 162–3
Intergovernmental Panel on Climate Change (IPCC) 38–9, 57–8
Intergovernmental Research Organizations (IGROs) 138
internal coordination 151–3, 219
internal market for research 3, 8, 14–15
International Atomic Energy Agency (IAEA) 205
International Geosphere Biosphere Programme (IGBP) 40
International Council for the Exploration of the Sea (ICES) 39–40
International Dimension of the European Research Area, The 12
International Energy Agency (IEA) 59
International Human Dimensions Programme on Global Environmental Change (IHDP) 40
international organizations 228
EU member states’ strategies towards 205, 207–8
see also under individual organizations
International Partnership for Energy Efficiency Cooperation (IPEEC) 228, 235
International Partnership for the Hydrogen Economy (IPHE) 147, 228, 235
International Renewable Energy Agency (IRENA) 228, 236
International Telecommunications Union (ITU) 33, 35, 38
International Whaling Commission (IWC) 38, 45
internationalization of S&T 88, 192–8
drivers 193–5
modes of 193–4
policy objectives and strategies of developed countries 195–7
priority setting 197–8
internationalization strategies 138–9, 185, 199
investment
in knowledge accumulation 89–91
level and Barcelona target 89–90, 100, 162, 165, 168
Ireland 151
IRSES scheme 12
issue areas, peculiarity of 149–50
issues-based research 210
Japan 16, 59, 83, 86, 162, 192, 198
joint programming 211, 233
Joint Research Centre (JRC) 39
Joint Technology Initiatives (JTIs) 2, 145, 146, 210
‘juste retour’ principle 171
knowledge economy 81–102, 230
knowledge-implementation gap 75–7
knowledge infrastructure 109–12, 116
knowledge-intensive growth strategy 92–4, 99
Kok-Report 5
Korea, South 88, 91, 92
Kyoto Protocol 34
Labonte, R. 77
labour market policy 199
latecomer countries 103–22, 130–31, 230–31
see also developing countries
Law of the Sea (LoS) Convention 31, 32, 35
learning 189
   enabling learning environment 103
   mutual learning 21, 92–8, 99, 232
learning institutions 118
Legal Framework for the Construction and Operation of new Pan-European Infrastructures 8
liberalization 123–4
discourse 53–6
life expectancy at birth 67, 68
Lindberg, L.N. 180
Lisbon European Council 3, 4
Lisbon Strategy 3, 162
   embedding the ERA into the relaunched strategy 5–7
   focus on internal growth dynamics 84–7
outward-looking 81–102, 124, 230
Lisbon Summit 82
‘Ljubljana Process’ 9–10, 228
local governments 94–6
local research communities 18
logic model of coordination 155–7
logics of coordination 180–84, 188–9
Long Range Transboundary Air Pollution Convention (LRTAP) 33
low and middle income countries 65–80, 129–30
see also developing countries
Maastricht Treaty 2, 163
Madrid Protocol on Environmental Protection 32
mapping of R&D activities 169–70, 232
Marie Curie International Fellowships 12
Maritime Green Paper 41, 43–4
market access 195
market forces-led globalization 123–4
market-oriented solutions 97–8, 99–100
maternal mortality ratio (MMR) 68, 69
megascience projects 178, 187
Meister, C. 90
member states of the EU perspectives on policy coordination 192–212, 233
understanding the coordination challenge 151–2
Metcalfe, L. 140, 152, 175
migration 91–2
Millennium Development Goals (MDGs) 66, 216
Milner, H.V. 162
mobility of researchers 12, 194
   national policy measures towards 200–201
modes of coordination 152–5, 156
monetary policy 84–5, 163
monitoring 36, 157
Monitoring Committee for S&T cooperation with the Mediterranean partner Countries (MoCo) 205
Montreal Protocol 34
More Research and Innovation – Investing for Growth and Employment 13
mortality rates 67–70
motivations for international S&T collaboration 148–50
Mukhopadhyay, P. 93
Multi-Fibre Agreement (MFA) 107, 108
multilateralism 18, 178–80, 184, 186–7
multi-level governance 174–91
mutual learning 21, 232
     China, India and the EU 92–8, 99
National Contact Points 215
national innovation systems (NIS) 103–5, 163
national policy 14
   enhancing coordination of national S&T policies towards third countries 207–8
FDI in R&D 201–2
international cooperation of S&T institutions 199–200
international exploitation of knowledge 202–3
mobility of researchers 200–201
National Reform Programmes 5–6, 7
national research programmes, opening up 162, 166–7
National Science Councils 178
negative incentives 19
negative policy coordination 154–5, 174–7, 178–80, 184, 187
network services 87–9
networked specialization 210
networking, internationalization of 89–91
networks, policy 174, 180–81, 189
Networks of Excellence (NoEs) 20, 138
‘new regulatory issues’ 88, 89
new social carriers of innovation activities 131
Nice Treaty 4
non-binding governance instruments 4, 164
non-hierarchical instruments 19
non-manipulative negotiation methods 19
North-East Atlantic Fisheries Commission 40
Norwegian Research Council (NRC) 142, 144–5
objectives/goals
alignment of goals 154–5
common visions and 154–5, 175
developed countries’ objectives and internationalization of S&T 195–7
of the ERA 3–4
external dimension of the ERA 14–17
policy coordination 150–51, 156, 174–5
of S&T collaboration 148–50, 156
obligational networks 180
oceans 31–2, 34, 35, 40, 41
open innovation 201, 203, 210
Open Method of Coordination (OMC) 4, 5, 14, 19, 20, 154–5, 164, 188, 220
opportunity costs 157
optimum currency area 163
Organisation for Economic Co-operation and Development (OECD) 186–7, 205, 209–10
outer space 33, 35, 40–41
outward-looking Lisbon Strategy 81–102, 124, 230
Overseas Development Aid (ODA) 58–9
ozone, stratospheric 34, 35, 38
Painter, M. 152, 175
Pardo, A. 32
partner countries, selection of 197–8
Partnership and Cooperation Agreements 15
Peters, B.G. 154
physical infrastructure 109–12, 116
policy coherence 152–4, 175, 208
policy collaboration 140
policy coordination 1, 227, 234–5
arguments for and against research policy coordination 163–4
barriers to 206
defining 140–42
in the external dimension of the ERA 19–21, 220–24, 231–3
five level scale of 154
horizontal 20, 174, 175, 188
modes of 152–5, 156
negative 154–5, 174–7, 178–80, 184, 187
objectives of 150–51, 156, 174–5
stylized decision model 155–7
vertical 20, 174–91
policy dialogues 15
policy gap, in European research 162–4
policy learning see learning
policy networks 174, 180–81, 189
political conflict 152–3, 175
political criteria for priority setting 197–8
political dialogue 17
population ageing 72–3
positive incentives 19
Potocnik, J. 8
poverty reduction 57, 62, 125
prioritization 18
health systems research 75–8
in international S&T policies 197–8
problem definition 36
process innovation 112–14
product innovation 112–14
promotional networks 180–81
public health see health

Putting Knowledge into Practice: A Broad-based Innovation Strategy for the EU 13

Qian, Y. 98

ready-made garments (RMG) sector 116–18, 130–31
innovation capacity 105–6, 107–8
innovation constraints 108–16
regimes for global commons 35–40
regional disparity 94–6, 99
Regional Economic Integration Organization (REIO) status 33–4, 38–9
regional policy experiments 95–6
regional S&T priorities 50, 51
Reiter, J. 235
research for development initiative 12
research networking, internationalization of 89–91
research organizations, international cooperation of 178, 193–4, 199–200
research strategies, trends in 167, 168
Research and Technological Development: Achieving Coordination through Cooperation 20

researchers
mobility of 12, 194, 200–201
net utility gain from international collaboration 136–7
responsibilities for policy coordination 150–51
return on investment 165
rules 195
global commons regimes 36
Russia 16, 81, 83, 192

Scharpf, F. 154
Schrecker, T. 72
science and technology (S&T) priorities of world regions 50, 51
Scientific Committee on Antarctic Research (SCAR) 37, 41
scientific criteria for priority setting 197–8
Scientific Visa Directive 12

Scientific VISA Package 201
seas, high 31–2, 34, 40, 41
services, network 87–9
services directive 84
Singapore ‘new regulatory’ issues 88, 89
Single European Act (SEA) (1987) 1, 20
Soete, L. 90
software sector 92–3
Solana, J. 32
South Africa 70, 192
space, outer 33, 35, 40–41
Specific International Cooperation Actions (SICAs) 15, 42
specificity 176, 180–87, 232–3
impact on policy coordination at the European level 185–7
Spiegel, J. 77
spillovers 194
Stability and Growth Pact 81, 84–5, 163
Stabilization and Association Agreements 15
Stabilization and Association Process 13
Standing Committee on Agricultural Research (SCAR) 219
state intervention 97–8, 99–100
state-owned enterprises 97
Steering Platform on Research with the Western Balkan Countries 205
Strategic Energy Technology Plan (SETPLAN) 9
Strategic European Framework for International Science and Technology Cooperation 9, 13–14
Strategic Forum for International Science and Technology Cooperation 155, 189, 208, 211, 228, 231, 234–5
strategic international coordination process 155–7
strategic prioritization 162, 167
strategic research agendas (SRAs) 145–6
strategic research areas 162, 167
strategies, common 154–5, 175
stratospheric ozone depletion 34, 35, 38
subsidiarity principle 164, 168
Subsidiary Body for Scientific and Technical Advice (SBSTA) 37, 38, 39, 40
super-grids 62
supervised delegation model 236
sustainable development
  and climate change 57–60, 125–6
  energy security policy and 56–7
  trade and 60–61
sustainable energy system 54, 55
system transition literature 54–6
systems approach to innovation 103–5, 163
talent, access to 91–2
targets
  identifying targets for coordination 207
  and instruments in international S&T cooperation 221–2
see also Barcelona targets; European Research Area (ERA)
Task Force on Health Systems Research 75–7
taxonomy
  of the ‘five Cs’ 220–21
  of international S&T coordination and cooperation 176, 177–80
technical education 95–6, 110
technological capabilities 110
technology transfer 58–9
textiles see ready-made garments (RMG) sector
Tirpak, D. 58, 59
Towards a European Research Area 3–4
Towards Joint Programming of Research 8
trade
  network services 87–9
  and sustainability 60–61
‘tragedy of the commons’ 34
transnational coordination towards third countries 203–4
trust building 17
UNESCO 205
UNIDO 205
United Kingdom (UK) 90
United Nations Framework Convention on Climate Change (UNFCCC)
  34, 35, 228
  SBSTA 37, 38, 39
United States (US) 16, 83, 86, 90, 162, 192, 198
urbanization 72–3
variable geometry 186, 188, 189
venture capital 94, 95
Verspagen, B. 90
vertical policy coordination 20
  organization of policy coordination 174–91
Vienna Convention (1985) 34
Visceral Leishmaniasis (VL) 74
visions, common 154–5, 175
whales and whaling 34, 38, 45
World Climate Research Programme (WCRP) 40
World Health Organization (WHO) 65, 75–7, 205
World Intellectual Property Organization (WIPO) 15
World Trade Organization (WTO) 14, 81